

UMSL eCompliance IRB Quick Reference Tool

1. Login to eCompliance: <https://umsl.ecompliance.umsystem.edu/login>

UMSL | eCompliance

Authentication required

This is a secure resource, you must sign in to continue.

Login ID (SSO or Email Address)

Password




By your use of these resources, you agree to abide by the [Acceptable Use Policy of the University of Missouri](#), in addition to all relevant state and federal laws.

[➔ Sign in](#) [Forgot username or password?](#) [Create an account](#)

2. Select **Institutional Review Board** at the Select a Compliance Module page (aka Dashboard).

Welcome to UMSL eCompliance

Select a Compliance Module

| | | |
|---|--|--|
|  Conflict of Interest |  Institutional Review Board |  Lobbying Activities |
|---|--|--|

3. You will be presented with four columns:
 - 1) Prerequisites
 - 2) Submission to IRB
 - 3) View Approved/Archived Projects
 - 4) Researcher Resources

Institutional Review Board

🏠 / IRB

| | | |
|---|---|---|
| Prerequisites | Submission to IRB | View Approved/Archived Projects |
| Take IRB training Advisor approval PI assurance My personal information Upload CV | IRB forms Open saved IRB project Check project status | View all my approved IRB projects View all my uploaded documents |

Researcher resources

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4. **Prerequisites:** This column includes links to areas that require action before submitting the IRB application.
 - 1) **Take IRB Training:** Every investigator is required to complete IRB training before submitting to the IRB. This link provides instructions to complete the required training and can take you to the CITI website to complete training.
 - 2) **Advisor Approval:** All students listed as PIs on applications must have an advisor listed. Prior to submission to the IRB, the advisor must complete this step after reviewing the application and recommending it be reviewed by the IRB. Advisors will receive an automatic e-mail that an application is awaiting advisor approval when the student completes their portion of the submission process. The studies will be listed when this link is accessed. After completed by the advisor, the application will automatically submit.
 - 3) **PI Assurance:** This is required to be completed by the PI listed on an application prior to submission to the IRB. PIs will receive an automatic e-mail that an application is awaiting PI assurance when the study staff completing the application has completed their portion of the submission process. The studies will be listed when this link is accessed. After completed by the PI, the application will automatically submit to the IRB.
 - 4) **My Personal Information:** This link provides you with access to your personal account. If you are a university employee, the majority of your information comes from HR. If the information is incorrect, please contact HR. You can also access files that have been uploaded to your personal account, such as your CV that you may have uploaded or training certificates if you are not a university employee. The files and comments associated with this link are not specific to a project, rather specific to you.
 - 5) **Upload CV:** Investigators will be asked to upload their CV, so this can be uploaded at any time without being prompted. If an investigator is a student, then their Advisor should upload their CV.

5. **Submission to IRB:** This provides you access to all IRB forms, project documents, and to check your project review status. When all prerequisites have been met, this column serves as step 2 of the IRB submission process.

- 1) **IRB Forms:** When you click on this link, you will be provided with a list of forms for IRB submission; sorted by Applications, Quality Improvement, Amendments, Required Reporting Forms, and Administrative Forms. Each form includes a brief description of its purpose. If you have questions on which form to complete, please contact the IRB office. **For more detailed information on what form to complete, see pages 8-9 of this document.**

Begin a new IRB form

| | |
|--|---|
| Applications | Annual reports are now only accessed through Open saved IRB project and have been removed from this page. |
| <p>IRB Application Complete this form for all exempt, expedited, and full board research projects.</p> <p>Initial Reliance Request Form Complete this form to request pre-approval to rely on an external IRB.</p> <p>Reliance Form Complete this form to finalize your initial request to rely on an external IRB.</p> <p>Case Report Form Complete this form for single retrospective case reports of 3 or less individuals.</p> | <p>Amendments</p> <p>Exempt Amendment Form Complete this form to request changes to an approved Exempt study.</p> <p>Amendment Form Complete this form to request changes to an approved Expedited or Full Board study.</p> |
| <p>Quality improvement</p> <p>QI Determination Form Complete this form for a determination as to whether the project is Quality Improvement or Research. (This includes quality improvement studies, needs assessments, customer satisfaction surveys, etc.).</p> | <p>Required reporting forms</p> <p>Completion/Withdrawal Report [Expedited and Full Board Studies Only] Complete this form if you would like to request for your project to be closed. A project may be closed when the activities are limited to data analysis AND all data have been completely de-identified. For exempt studies, submit the Annual Exempt Form to close your study.</p> <p>Death Report Complete this form to report the death of a locally enrolled participant. Please note, if you have no way of knowing a death occurred, or if an individual dies more than 30 days after s/he has stopped or completed all study procedures/interventions and required follow-up, no reporting is required.</p> <p>Event Report Complete this form to report events, including any deviations (non-compliance) or unanticipated problems (events that are unexpected, related or possibly related to the research, AND suggests the research places subjects or others at a greater risk of harm than was previously known or recognized). This form must be submitted within 5 days of becoming aware of the event.</p> |
| <p>Human subjects research determination</p> <p>Human Subjects Research Determination Form Complete this form if you are questioning whether your project is human subjects research requiring IRB review. You may also contact the IRB office at 314.516.5972 or email irb@umsl.edu.</p> | |

| | |
|---|---|
| Collaborative exempt notification | |
| <p>Collaborative Exempt Notification Form Complete this form to notify the UMSL IRB about collaborative research that was reviewed and determined to be exempt by another IRB. If the research required limited review under category 2 or 3, you must submit the Initial Reliance Request Form instead.</p> | |
| | <p>Inclusion/Exclusion Exception Complete this form to submit a request to enroll a subject who does not meet the approved inclusion/exclusion criteria.</p> <p>Reliance Reporting Form Reliance Studies: Complete this form for all modifications approved, or determinations made, by the IRB of Record.</p> |
| | Administrative forms |
| | <p>Personnel Change Form Complete this form if you want to make changes to research personnel. (Exception: PI or other personnel changes requiring revisions to supportive documents, and/or adding external investigators must be requested on an Amendment Form)</p> <p>Requested Identification Numbers/Information Complete this form to an approved study to provide your Clinicaltrials.gov. MoCode, OSPA number, or Study Short Name, if it was not previously provided.</p> <p>Biorepository or Database Submission Form Submit this form to establish a biorepository or database which will be used institutionally for future research. This involves the collection and storage of information and/or biospecimens over time by individuals that will be independent from the research activities. This is not project specific as those protocols should use the main IRB application and complete the database/biorepository subform.</p> <p>Cumulative AE Log This is only to be used for reporting adverse events that do not require IRB review, but do require proof of IRB submission.</p> <p>HIPAA Preparatory to Research Form Complete this form for access to PHI that is necessary to prepare a protocol or to assess the feasibility of conducting a study.</p> |

- 2) **Open Saved IRB Project:** If you are currently working on a form, whether it be an application, amendment, etc., you can find it here as long as it has not yet been submitted OR it has been returned to you by IRB staff for revision.

Home / IRB / My saved IRB projects

My saved IRB projects

You can sort these reviews by clicking on the header of each column.

| Project number | Project title | Review ID | Form | Status | Started | Submission date |
|----------------|---------------|-----------|-----------------------|--------|------------|-----------------|
| 2026208 | Test 4 | 269046 | IRB Application | New | 07/16/2020 | Continue form |
| 2025882-QI | | 268412 | QI Determination Form | New | 07/06/2020 | Continue form |
| 2025813 | | 268278 | IRB Application | New | 07/02/2020 | Continue form |
| 2025228 | | 267295 | IRB Application | New | 06/18/2020 | Continue form |

2a) When you click CONTINUE FORM, you will be presented with this page to edit your form:



IRB #2026208 SL

[Home](#) / [IRB](#) / [My IRB Projects](#) / [IRB #2026208 SL](#) / [IRB Application: 269046](#)

IRB Application sections

1. Project Title/Investigators
2. Exempt Determination
3. Exempt Project Information
4. Attached files
5. Submit

Project Title/Investigators

1. Project Title

If the study is externally funded or internally grant funded, this title should match the title on the grant/contract.

Test 4

2. Key Personnel - List all investigators engaged in the research by clicking on the "Add an Investigator" button. This includes individuals interacting or intervening with subject collecting or accessing identifiable data, or consenting subjects. Please note, if individuals are performing services that are typically performed for non-research purposes, a are only providing a service for this project, they do not need to be listed.

Principal Investigator Assurance: After you hit submit on this application, the PI will be sent an email from the system requesting the completion of the PI Assurance Form. If application will not officially be submitted to the IRB until this step is complete.

Primary Contact(s): Whoever you would like to be copied on IRB correspondence, including reminders and approvals, please be sure to add them as primary contacts when under the "Add an Investigator" button. There must be at least one primary contact on this application.

Student-Initiated Projects: Students must list themselves as Principal Investigator and also include an Advisor on the project. After you hit submit on this application, the Ad will be sent an email from the system requesting the completion of the Advisor Approval Form. This application will not officially be submitted to the IRB until this step is complete.

- 3) Check Project Status:** If you have submitted a form to the IRB office and it has not yet been approved, you can monitor the status of your submission here. Questions regarding the status should be directed to the IRB Office.

| Not Yet Submitted/Resubmitted | | | | |
|-------------------------------|---------------|-----------|--|-----------------|
| Project number | Project title | Review ID | Form | Submission date |
| 2025882-QI | | 268412 | QI Determination Form | |
| 2022275-AA | | 263518 | Initial Reliance Request Form | |
| 2023101 | test 2 | 265675 | Amendment Form | |
| 2025228 | | 267295 | IRB Application | |
| 2024524 | | 266358 | Collaborative Exempt Notification Form | |
| 2025813 | | 268278 | IRB Application | |
| 2026208 | Test 4 | 269046 | IRB Application | |

| Pending Submission Prerequisites | | | | |
|----------------------------------|---------------|-----------|-----------------|-----------------|
| Project number | Project title | Review ID | Form | Submission date |
| 2025205 | Test #3 | 267220 | IRB Application | 07/02/2020 |

| Awaiting Review | | | | |
|-----------------|---------------|-----------|-----------------------|-----------------|
| Project number | Project title | Review ID | Form | Submission date |
| 2023082 | Test | 265513 | Exempt Amendment Form | 05/19/2020 |
| 2022276 | test | 263519 | IRB Application | 05/19/2020 |

6. View Approved/Archived Projects: In this column, you will find all projects that have received IRB approval, whether it is currently active or closed with the IRB.

1) View All My IRB Projects: This link will take you to all approved studies, whether it be currently approved or closed. You can access the forms, comments, and documents.

My IRB Projects

[Export to Excel](#)

Search

Project number

Review ID

Project title

Project status

Investigator
last name, first name PI only

IRB Projects

| Project number | Principal investigator | Project title | Project status | App. status | App. approved | Expiration date | |
|----------------|------------------------|---------------|-----------------------------|----------------------------------|---------------|-----------------|-------------------------------------|
| 2022275-AA | | | | New | | | <input type="button" value="View"/> |
| 2022276 | | test | | Pending | | | <input type="button" value="View"/> |
| 2023082 | | Test | Active - Exempt | Approved | 05/07/2020 | 05/07/2021 | <input type="button" value="View"/> |
| 2023101 | | test 2 | Active - Open to Enrollment | Approved | 05/21/2020 | 05/21/2021 | <input type="button" value="View"/> |
| 2024524 | | | | New | | | <input type="button" value="View"/> |
| 2025205 | | Test #3 | | Pending Submission Prerequisites | | | <input type="button" value="View"/> |
| 2025228 | | | | New | | | <input type="button" value="View"/> |
| 2025813 | | | | New | | | <input type="button" value="View"/> |
| 2025882-QI | | | | New | | | <input type="button" value="View"/> |
| 2026208 | | Test 4 | | New | | | <input type="button" value="View"/> |

1 to 10 of 10

2) View All My Uploaded Documents: This link will take you the same page as above (View All My IRB Projects), but it goes directly to the attached files link where you have uploaded documents to the study. When you click View Documents, it takes you to the second snapshot.

View all my uploaded documents

Search

Project number

Review ID

Project title

Project status

Investigator
last name, first name PI only

IRB Projects

| Project number | Project title | Form | App. status | Project status | |
|----------------|---------------|-----------------|-------------|-----------------------------|---|
| 2023101 | test 2 | IRB Application | Approved | Active - Open to Enrollment | <input type="button" value="View documents"/> |
| 2023082 | Test | IRB Application | Approved | Active - Exempt | <input type="button" value="View documents"/> |

1 to 2 of 2

[Home](#) / [IRB](#) / [My IRB Projects](#) / [IRB #2023101 SL](#) / Attached files

| | |
|------------------------|-----------------------------|
| Project number | 2023101 |
| Principal Investigator | Hunter, Danielle Yvonne |
| Project title | test 2 |
| Project status | Active - Open to Enrollment |
| App. status | Approved |
| App. approved | 05/21/2020 |
| Expiration date | 05/21/2021 |

Reviews Comments **4** Attached files **2** Investigators Reports ▾

[+ New attached file](#) ▾ ▾ ▾

| Current approved | File | Document type | Item | Reference | User | Created at | |
|-------------------------------------|-------------------------------------|--------------------------------------|-----------------|-------------------------|-------------------------|---------------------|---|
| <input type="checkbox"/> | IRB Approval Letter | IRB Approval Letter | IRB #2023101 SL | IRB Application: 264684 | Hunter, Danielle Yvonne | 05/21/2020 02:46 PM | Edit Delete |
| <input checked="" type="checkbox"/> | test | Consent with Waiver of Documentation | IRB #2023101 SL | IRB Application: 264684 | Hunter, Danielle Yvonne | 05/04/2020 03:03 PM | Edit Delete |

7. Researcher Resources: This column will frequently be updated to include up-to-date information and direct access to the IRB website (will open in a separate window), templates, this tutorial document, etc.

Please call the office if you have questions about which form to complete!

Applications:

- 1) **Exempt Application:** Submit this application for exempt, minimal risk research. For more information about what is exempt, click here to view the six categories: [Exempt Categories](#)
 - a) There are additional requirements for research involving children. Not all research involving children can be exempt. This application cannot be used for medical research involving medical procedures, drugs, devices, etc. It is primarily used for social and behavioral research.
- 3) **IRB Application:** Submit this application for expedited and full board studies (anything that is not exempt, data analysis only, or a database/repository application).
- 4) **IRB Reliance Request Form:** This form is available for studies that have already received IRB approval from the lead site and you are requesting that the UMSL IRB rely on their approval. An Authorization Agreement between IRBs must be established. This application will ask that you upload all approved documents by the lead IRB (“Reviewing IRB”).
- 5) **Case Report Form:** This form is available to those who are wanting to conduct a single retrospective case report of 3 or less individuals. These are not considered research, but require IRB oversight.
- 6) **Biorepository/Database Application:** This application is for studies in which the only purpose is to bank blood, tissue, and/or other specimens for future research. It can also be used for studies with the purpose of creating a database of information for future research. *The research conducted using the information/materials within the repository or database must receive separate IRB review/approval. A separate consent template is available within this application for these types of studies.

Quality Improvement Forms:

- 1) **QI Questionnaire:** Researchers submit this form to determine if the activity is QI only or research – which would prompt a request to submit one of the applications noted above.

Human Subject Research Determination Form:

- 1) **Human Subject Research Determination Form:** If you are unsure whether your project requires IRB review, submit this form for a determination.

Continuing Review Forms:

- 1) **Annual Exempt Form:** Prior to your project expiration date of your exempt study, you will need to submit this form to keep your study active. This form should also be used to close your study if it is completed prior to the expiration date.
- 2) **Continuing Review Report:** Prior to your project expiration date of your expedited or full board study, you will need to submit this form to keep your study active.
- 3) **IRB of Record Continuing Review:** If the UMSL IRB is relying on another IRB for a particular study and an Authorization Agreement was established between both IRBs, this form is to be used to submit the IRB’s continuing approval letter with any new approved documents. This needs to be submitted prior to their IRB’s expiration date.

Amendments

- 1) **Exempt Amendment Form:** This form is to be used when you want to make changes to your exempt study. The changes must receive IRB approval prior to initiating the changes.
- 2) **Amendment Form:** This form is to be used when you want to make changes to your expedited or full board study. The changes must receive IRB approval prior to initiating the changes.

Required Reporting Forms

- 1) **Completion/Withdrawal Report:** This form is to be completed if your project is complete or if you wish to withdraw your study because the study was never conducted. This form will provide the IRB with a final status of your study.
- 2) **Event Report:** You are required to report events or problems that occur on your study that were unexpected, you must submit this report so the IRB can evaluate the event or problem. You must submit this form within 5 days of becoming aware of the event.
- 3) **Inclusion/Exclusion Exception:** This form must be completed to enroll a subject in your study that does not meet the approved inclusion/exclusion criteria.
- 4) **Death Report:** If a study participant dies, this must be reported to the IRB. If the death is related to the study, complete the Event Report.

Administrative Forms

- 1) **Requested Identification Numbers:** If you receive your clinicaltrials.gov number or ORA number, please submit this form to provide the IRB with an update.
- 2) **Personnel Change Form:** If you propose to make change to the research personnel on your study, submit this form. The only exception would be a PI change which would require an Amendment.
- 3) **Cumulative AE Log:** Use this form for reporting adverse events that do not require IRB review, but do require proof of IRB submission. You can use this one form to log multiple adverse events.

If you have questions about the IRB submission process, please contact Danielle Hunter at danielle.hunter@umsl.edu or email our general inbox at irb@umsl.edu.