

## **ISABEL H. BENHAM COLLECTION**

### **Physical Description:**

80 linear feet of paper records, including mostly creator-labeled files, numerous bound case files and annual financial reports to the Interstate Commerce Commission and other parties.

**Location:** Off-site storage, see Mercantile Library staff for assistance.

**Dates:** Overall the collection spans the six decades of Ms. Benham's career: 1934 – 1994, but the highest concentration of material is from the 1970's and 1980's.

**RESTRICTIONS:** See Mercantile Library staff.

### **Provenance:**

This collection was donated to the Mercantile's Barriger Library by Ms. Isabel Benham in several installments from 1987 to 1994.

### **Biographical sketch:**

Ms. Isabel Hamilton Benham was a pioneering Wall Street career woman, serving as a financial analyst and advisor to railroad companies from the 1930's to the 1990's. Ms. Benham was born in Buffalo in 1909 and raised in upstate New York by Reverend Wesley Hamilton and Eva Ann Benham. She attended Bryn Mawr College, graduating with a degree in Economics in 1931, and immediately set off for Wall Street, enrolling in a bond sales course at the Guaranty Trust Company. Initially unable to find work, Ms. Benham's first job was selling subscriptions for the newly-established *New Yorker* magazine to her Wall Street interviewers. After much difficulty, in 1932, she was able to secure a position with a government agency, the Reconstruction Finance Corporation, which was set up to loan funds to distressed banks and railroads in the Great Depression. In 1934, she took a job with R.W. Pressprich & Co., and was promoted to a railroad analyst position a few years later. She remained with the firm for over thirty years, becoming a partner in 1964. In 1968, Benham left Pressprich to join Charles L. Bergmann, another railroad expert, in establishing a railroad research department at Shearson, Hammill & Co. (which later became Shearson Hayden Stone Corporation and then Saloman Smith Barney), where she ultimately served as a first vice president. Finally, in 1978, Ms. Benham was invited by former R.W. Pressprich employees to set up a New York office for the New Jersey firm Printon, Kane Research Inc., where she was eventually elected President.

### **Scope and Content:**

This collection is primarily composed of research materials Ms. Benham used in conducting analyses and writing reports on railroads. Most of these documents are filed in labels Benham created herself. These materials include: Maps, mortgages, stock and bond listings, news clippings and press releases, as well as financial forms filed with the Security and Exchanges Commission (SEC) and annual reports, both to the Interstate Commerce Commission and to stockholders. SEC forms 10-K and 10-Q may be inserted into bound annual reports. For several of these railroads, Ms. Benham attended Security Analyst Meetings and special tours. Presentations from these meetings are another significant component of the collection that would

have contributed to her analyses. Some of these presentations and reports include Ms. Benham's notes. Benham's worksheets, drafts and final reports and analyses are frequently included in her files on specific railroads. An expert on railroad finance, Benham also served as a witness in hearings related to railroad mergers, reorganizations, and other issues. Transcripts of testimony, both from Benham and other witnesses as well as trial proceedings, are another significant component of the collection. Although it is a lesser portion of the collection, correspondence with railroad executives and others who sought Benham and her firms for consultation work may provide significant additional detail regarding such issues. The last series in the collection is composed of Ms. Benham's writings on specific issues in and the general state of the railroad industry, which includes her arguments in support of "Open Access," a controversial toll road concept.

**Series Description:**

**Railroads, A-Z:** These records are organized alphabetically by railroad name.

**Terminals:** General information on railroad terminal traffic, but the majority of the files are on specific cities, also organized alphabetically.

**Coal/Fuel:** Research, studies and hearings related to the use and transportation of fuel, mostly coal.

**Trailer Train Company:** Records related to an equipment-only company

**General Railroad Industry:** Subseries include: Accounting, Labor, Competition, Mergers, Rates, Legislation, the Staggers Act, and the New England Rail System.

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- Folder 24 "Trustees' Reports to Court" & "Milwaukee Restructuring Act," 1982
- Folder 25 "Ford, Bacon & Davis Liquidation Study, 1978 – Wyer Dick"; Nov. 1982 Report on Net Liquidation Value – Thomas K. Dyer, Inc.
- Folder 26 "ICC Dockets on Values," 1981
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- (Loose) Reorganization: Reply Brief of Richard B. Olgivie, Trustee of the Milwaukee, Jan. 1983
- (Loose) Reorganization: Opening Brief of Debtor & Chicago-Milwaukee Corporation, Oct. 1983
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- (Loose)      Annual Reports to Stockholders & to ICC (R-1) and SEC Forms 10-K &

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- Folder 9 Mortgage Supplements, 1950's
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- Folder 11 ICC Valuation, 1934; 1974 Report on C&NW Control Case
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- Folder 7 **Cincinnati Southern Railway:** Notice of Sale, 1962
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Folder 13 "Abandonment Petitions (and) Correspondence with Bank of New York,"  
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Folder 16 **Copper Range Railroad:** 1937 Moody's Bond Survey; 1938 ICC Docket

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Folder 3 "TAA: Conrail – Investor Panel Notes – Washington Meeting, May 13-14,  
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Folder 4 "Conrail and Staggers Act of 1980" – Executive Summary of Report April  
1, 1981"

(Loose) Complete Report "Options for Conrail – Conrails's Response to the  
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(Loose) "Regional Rail Restructuring Plan – August1, 1981"

Folder 6 "Day & Zimmerman: Conrail Proposal," 1982

- Folder 7 "Dean Witter Project, 1982"
- Folder 8 "International Minerals & Chemical Corporation Proposal for Sale" – March 1984
- Folder 9 "Speeches: Congressman Florio, etc." – Nov. 1984
- Folder 10 Morgan Stanley: "Let Conrail Be Conrail" – Argument for Acceptance of May 1985 Proposal
- Folder 11 Morgan Stanley Proposal – May 14, 1985 – and Tape of Press Conference  
(Loose) Morgan Stanley Proposal & Supplemental Info (2 vols.)
- Folder 12 Revised Morgan Stanley Proposal – June 12, 1985
- Folder 13 Norfolk Southern Purchase Proposal – 1985
- Folder 14 Norfolk Southern Purchase Proposal – 1985  
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- Folder 15 Comparing "Morgan Stanley and Norfolk Southern Proposals" – 5/31/1985
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- Folder 17 Transcript of Hearing on Sale of Conrail 6/12/1985
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- (Loose) "Data Received from Company for 1981 Report" (1 vol.)
- Folder 2 ICC Control Case: American Commercial Lines, Vol. I – Nov. 4, 1983
- (Loose) ICC Control Case: American Commercial Lines, Vols. II-A & III
- Folder 4 1983 Prospectuses
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- Folder 7 Misc. CSX, early 1980's
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- (Loose) Annual Reports to ICC (R-1), 1986-1990  
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- Folder 10 "New York State vs. N&W" – Testimony of Executives, 1980
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- (Loose) Restructuring Study, Part I – Technical
- Folder 13 "Valuation for Norfolk & Western – January 1979"
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- Folder 16 "Merger with Guaranteed Roads – Plans of Adjustment – Agreement of Merger" – 1940's
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- Folder 4 **Kansas City Terminal Railway:** Various Maps, Agreements & Reports
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- Folder 13      Wyer Dick Study of C&EI for L&N, 1962
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- Folder 15      Worksheets for the 1968 C&EI Report at Shearson
- Folder 16      "Agreement 3/8/1968 for Sale of C&EI's Evansville Line"
- Folder 17      "Proxy Statement on Monon Merger – July 24, 1968"
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- Folder 19      "Tennessee Central Railroad Application to Acquire & Operate," 1968-1969
- Folder 20      Nashville, Chattanooga & St. Louis Railway Bonds & Clippings, 1940's - 1950's
- Folder 21      Western & Atlantic, 1930's
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- Folder 23      Coal Transport on Louisville & Nashville
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- Folder 4      "Misc. Originals: Sidney & Austin Meeting Minutes, Write-ups & Worksheets"
- (Loose)      Increased Rates on Coal on L&N Railroad – 1981, Vols. I & II

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- Folder 5 "Maps"
- Folder 6 "Write-ups by Others"
- Folder 7 Indenture & Supplement to General Mortgage, 1953
- Folder 8 "Misc. Mortgages – Listing Statements"
- Folder 9 Portland Terminal Co. – First Mortgage, 1961
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- Folder 6 "Maps"
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- Folder 15 "Texco Project 1971 – BN Acquisition of MKT"
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- Folder 16 "Chicago & North Western – Rock Island Merger" – ICC Docket
- Folder 17 "Income Available 1985-1989 inclusive," . . . "I.H.B. Notes on D'Alessandro's Testimony"
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- Folder 5      1962 Exchange Offer on Mississippi Fuel Corporation
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- (Loose)      I.H.B. Testimony in Union Pacific/Missouri Pacific Merger;  
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- Folder 11     Manufacturers' Life Insurance Co. vs. Missouri Pacific – 1990's

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- (Loose)      Manufacturers' Life Insurance Co. vs. Missouri Pacific – Brief for  
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- (Loose)      Inspection Trips: 1976, 1979 & 1980;  
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- (Loose)      **Missouri Pacific:** Annual Reports to the ICC, 1978-1985

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- Folder 7 "Profile Maps"
- (Loose) First & Second Mortgages, Indentures, Supplemental Mortgage & Guaranty; Notice to Stockholders of Merger with Louisville & Nashville, 1968
- Folder 8 Report of Special Committee, 1941
- Folder 9 "Monon – South Shore Report burns Harbor Material," 1960's
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- Folder 5 "Write-ups: Perlman, Vanderbilt, et al" – 1940's
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- Folder 10 "Boston & Albany Exchange Offer" – 1950's
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- Folder 13 "Cleveland, Cincinnati, Chicago & St. Louis Railway Co." – Maps, Reports, Stock Listings & Mortgage
- Folder 14 "Cleveland, Cincinnati, Chicago & St. Louis Railway Co." – Testimony of I.H.B. & Others, 1974
- Folder 15 "Cleveland Short Line" – Map & Report
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- Folder 19 "Lakefront Dock & Railroad Terminal Co. – Mortgage Debt"
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- Folder 22 "Michigan Central": Reports & Clippings
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- (Loose) *Modern Railroads* "The Norfolk & Western," 1959 or 1960  
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- Folder 6 "Nickel Plate Merger – ICC Decision 1964 – Brief 1962"
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- (Loose) Indentures to Refunding Mortgage: 1920's – 1950's
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- Folder 12 Pennsylvania Company Plan of Common Stock
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- (Loose) 1941 General Mortgage
- Folder 16 "Wheeling & Lake Erie Railway Co."
- Folder 17 "Estimated Earnings Based on Approach Used by Mr. Weston"
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- Folder 20 "Tidewater Coal Dumped Over Hampton Roads Piers," 1968-1985
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- (Loose) Statements of Expert Witnesses on Behalf of N&W in a Merger Case with C&O: Herman H. Pelter; John P. Fishwick; Winthrop C. Lenz; Howard J. Brinner; Edward Q. Johnson; Harry C. Munson; Clarence E. Jackman; Joseph J. Remington; L.M. Newton; Paul M. Zeis; William B. Saunders; Daniel L. Kiley; J.C. McGohan; John J. Fitzpatrick; John R. McMichael & Charles L. Bergmann
- Folder 1 C.L. Bergmann Testimony & Rebuttal (1967) – N&W/C&O Merger
- Folder 2 1966-1967 Merger Materials
- Folder 3 "Inclusion Case Decision & Other ICC Documents," 1966-1967
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- Folder 5 "Dereco: Certificates of Incorporation," 1968

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- Folder 7 I.H.B. Testimony in Control Case – Detroit, Toledo & Ironton, 1978
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- Folder 9 "N.K. Work Papers on N&W-SR Merger, June 1979"
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- (Loose) **Norfolk & Western:** Southern Merger Application to ICC, Volumes 1-8;  
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- Folder 18 "Cassatt Conference 1973" – Report on Penn Central & the Northeastern Railroad Problem
- Folder 19 Index to Penn Central Reorganization Library
- Folder 20 I.H.B. Report "The Pall of Penn Central," 5/4/1973
- Folder 21 Northeastern Rail Crisis – 1973 Background & Studies
- Folder 22 Reorganization: New York Central and Hudson River Railroad, Working File
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- Folder 4 "Approval for Penn Central to Join Conrail"
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- Commerce" – 9/23/1975
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- Folder 13 "State of New Jersey – Copy of Original (I.H.B.) Testimony"
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- (Loose) "Selected Data for Asset Disposition Program . . ." – May 25, 1978
- Folder 6 July 1978 Financial Report
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- (Loose) Impact of Regional Rail Reorganization Act of 1973: First Boston Corporation Testimony, Jan. 31, 1979

- Folder 11 Value of Certificates due December 31, 1987 (Feb. 1979)
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- (Loose) **Penn Central:** Annual Reports, 10-K's & 10-Q's, 1986-1989  
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- Folder 2 Testimonies & Cross Examinations of C.L. Bergmann, 1967
- Folder 3 "The Buckeye Pipeline Co." – Letter to Shareholders, 1964
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- Folder 6 1968 – Preferred Exchangeables – Shares of Norfolk & Western
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- Folder 4      Printon Kane Reports
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- (Loose)      Control Application: February – March 1988, Vol. 5, Part 5;  
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SEC Form S-1, filed 8/10/1988
- Folder 1      SEC Form 10-K, Annual & Quarterly Reports, 1985 – 1987
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- Folder 6      First Mortgage Bonds, 1955
- Folder 7      Lead Belt Line – Application, Clippings & Reports, 1960's
- Folder 8      "New Mexico & Arizona Land Co." – Clippings, Annual Reports &  
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**Santa Fe Southern Pacific**

(Loose) Fact Books, 1983-1989  
Annual Reports, 1984-1990; Forms 10-K & 10-Q, 1994

Folder 1 Assorted Issues of *Pace* (official publication), 1984, 1986-1987

Folder 2 "Santa Fe Energy Resources, Inc."



- Folder 3 "Santa Fe Natural Resources, Inc."
- Folder 4 "Santa Fe Pipeline Partners"
- Folder 5 "Real Estate Development & 1984 Inspection Trip"

**Seaboard Air/Coast Line**

- Folder 6 "Maps"
- Folder 7 "General & Clippings"
- Folder 8 "General & Clippings"
- Folder 9 "Organizational Charts – 1972"
- (Loose) First Mortgage, 1946 & 3 Indentures
- Folder 10 Exchange Offer to Seaboard Coast Line Shareholders – 3/14/1969
- Folder 11 Exchange Offer to Louisville & Nashville Shareholders – 9/1971
- Folder 12 Exchange Offer to Louisville & Nashville Shareholders – 5/1971
- Folder 13 Consolidated Mortgage Bonds, 1971
- Folder 14 Secondary Offering of Shares, 3/1972
- Folder 15 Consolidated Mortgage Bonds, 1971
- Folder 16 Common Share Offering, 1980
- Folder 17 Misc. Mortgage Circulars
- Folder 18 "Listing Statements"

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**Seaboard**

- Folder 1 "Reports by I.H.B. & Others"
- Folder 2 "Worksheets for Financing Program"
- Folder 3 "Coal Facts on the Family Lines of SCI" – 1975
- Folder 4 Shearson Hammill Analysis, 1972; Hampton Study on Restructuring,

1980's

- Folder 5 "An Evaluation of A Unique Financing Program" – Robert Hampton, 4/1980
- Folder 6 Chessie Merger Proxy Statement, 12/29/1978
- Folder 7 "Land Information incl. Alico Land"
- Folder 8 "Georgia Railroad"
- Folder 9 "Material on Piedmont & Northern Merger"
- (Loose) I.H.B. Witness File, Vol. II in Merger with Atlantic Coast Line, 1960
- Folder 10 "SAL-ACL Merger Testimony of John E. Slater on Competing Forms of Transport" – 1960
- Folder 11 SAL-ACL Merger – Testimony of John W. Smith, 1960
- Folder 12 ACL-SAL Merger – Pressprich Report & ICC Approval, 1960's
- Folder 13 "Transcripts of Meetings with Security Analysts"
- (Loose) Annual Reports & SEC Forms 10-K, 1978-1980  
Annual Reports to the ICC (R-1), 1979-1985

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**Soo Line (Minneapolis, St. Paul & Sault Ste. Marie Railroad Co.)**

- Folder 1 "Maps"
- Folder 2 "Clippings," 1960's -1980's
- Folder 3 Press Releases & News Clippings
- Folder 4 "Listing Statements"
- Folder 5 Prospectus, 1985
- Folder 6 "Write-ups by I.H.B. & Others"
- Folder 7 "Duluth, South Shore & Atlantic Bond Sale"
- Folder 8 "Green Bay & Western Railway Company"

- Folder 9 "Lake States Transportation Division"
- (Loose) Reorganization of the Milwaukee Road – Soo Line Application to Require Assets: Vol. 1, Verified Statement of Thomas M. Beckley;  
Vol. 2, Alternative Plan of Reorganization  
Vol. 3, Verified Statement of John C. Miller
- Folder 10 Testimony & Studies, 1980's
- (Loose) Annual Reports with SEC Forms 10-K & 10-Q, 1979-1988  
Annual Reports to the ICC (R-1), 1979-1984

**Southern Pacific**

- Folder 11 "Maps"
- Folder 12 Articles
- Folder 13 1939 Report on Bonds
- Folder 14 Mass Transit in L.A.
- Folder 15 First Mortgage, 1945 and First Mortgage Bonds, 1956
- Folder 16 Exchange Offer for St. Louis Southwestern, 1976
- Folder 17 Indentures & Mortgage Bonds, 1946
- Folder 18 Stock Listings, 1940's – 1950's

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**Southern Pacific**

- Folder 1 Printon Kane report on the "new" Southern Pacific, 1988
- Folder 2 Speeches by Management
- Folder 3 SoPac Transportation Co. – Bonds, 1972
- Folder 4 SoPac Transportation Co. – 1976 Bond Prospectus
- Folder 5 SoPac Transportation Co. – Intermodal Container Transfer, 1984
- (Loose) Printon Kane Report
- Folder 6 San Francisco Terminal – First Mortgage

- Folder 7 (Blank)
- Folder 8 Oregon Lines
- Folder 9 Central Pacific Railway – Prospectus & Mortgage Debt Issues
- Folder 10 Texas & New Orleans Railroad Co.
- Folder 11 Passenger Train Discontinuance Case, 1970-1971
- Folder 12 "SPT-Arizona: 1985 Capitalization Rate Guidelines"
- Folder 13 "SPT-Arizona: Market Value Appraisal as of Jan. 1, 1985"
- Folder 14 "SPT-Arizona: Transcript 'Abandonment of Arizona Properties'"
- Folder 15 "SPT-Arizona: Transcript of Denial of Application 4/29/1987"
- Folder 16 SPT-Arizona: Cross Examination – July 1985
- Folder 17 "SPT-Arizona: Official Transcript Proceedings before the ICC" –  
4/1/1986
- Folder 18 "SPT-Arizona: Schoenwald Appraisal" – Assessment Years 1981-1982,  
1985-1986

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**Southern Pacific**

- (Loose) Printon Kane Valuation of SPT-Arizona, August 1987  
Documents Obtained from Valley National Bank
- Folder 1 SPT-Arizona Study: Description of Property, Part I
- Folder 2 SPT-Arizona Study: "Changed Economic Environment," Part II
- Folder 3 SPT-Arizona Study: "Allocation Factor," Part III
- Folder 4 SPT-Arizona Study: "Valuation Philosophy," Part IV
- Folder 5 "E.M. Hodges – Valuation Study Arizona"
- Folder 6 Arizona/California Security Analysts Tour: 9/29 – 10/2/1974
- Folder 7 Security Analysts Meeting, 5/16/1975

- Folder 8      Brochures on Various Properties
- Folder 9      Assorted Issues of *Southern Pacific Bulletin*, 1970's – 1980's
- (Loose)      Annual Reports, Forms 10-K (incomplete), 1984-1987
- Folder 10     SEC Forms 10-K & 10-Q (incomplete), 1984-1987
- Folder 11     SEC Forms 10-K & 10-Q, 1989-1990
- (Loose)      Annual Reports to the ICC, 1984-1990

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**Southern Railway**

- Folder 1      "Maps"
- Folder 2      "General & Clippings"
- Folder 3      Articles
- Folder 4      "Listing Applications"
- Folder 5      General Mortgage & Bonds
- Folder 6      First Mortgage Collateral Trust
- Folder 7      First & General Mortgage Bonds, 1976
- Folder 8      1978 Proxy Statement
- Folder 9      Southern Rail Terminals – Secured Notes, 1966
- Folder 10     "Write-ups by Others"
- Folder 11     "Drafts of a Report on Coal"
- Folder 12     "ICC Documents – Barge River Acquisition; Grain Rates"
- Folder 13     "ICC Dockets on Merger of Southern's Various Subsidiaries . . ."
- Folder 14     "Atlanta & Charlotte Air Line Railway"
- Folder 15     "Cincinnati, New Orleans & Texas Pacific Railway Co.": Annual Reports to ICC, 1981-1984

- 1981
- Folder 16 "Control of Central of Georgia Railway Co." Annual Reports to the ICC: Georgia Southern & Florida Railway Co, and Interstate Railroad Co., 1981
  - Folder 17 Mobile & Ohio Annual Report to the ICC: New Orleans Terminal Co., 1975
  - Folder 18 "Norfolk & Southern Railway Co." Annual Report to the ICC, 1981
  - Folder 19 "Piedmont & Northern Railway Co."
  - Folder 20 "Savannah & Atlanta Railway Co."
  - Folder 21 "Virginia & Southwestern Railway"

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**Southern Railway**

- (Loose) Inspection Trip, 1979
- Folder 1 1982 Security Analyst Meetings
- (Loose) Annual Reports, 1978-1981  
Annual Reports to the ICC, 1975, 1977-1985
- Folder 2 **Spokane International:** ICC Docket & Stock Listing
- Folder 3 **Terminal Railroad Association of St. Louis:** Refunding & Improvement Mortgage and Supplements
- Folder 4 **Terminal Railroad Association of St. Louis:** Bonds & Maps
- Folder 5 **Toledo Terminal Railroad Co.**

**Union Pacific**

- Folder 6 "Maps"
- Folder 7 "Prospectuses on UP New Issues," 1946-1988
- Folder 8 "Listing Statements"
- Folder 9 "News Clippings & General"

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**Union Pacific**

- Folder 1 News Clippings & Press Releases (Rock Island & Acquisition)
- Folder 2 Clippings (Overnight Transportation)
- Folder 3 Write-ups
- Folder 4 Property Valuation – Oregon
- Folder 5 "Non-Rail Assets Dillon – Read Report 1980"
- Folder 6 Misc. Data on Non-Rail Assets
- Folder 7 "Union Pacific Resources Co."
- Folder 8 Rock Island Merger
- Folder 9 "ICC Testimony in UP-MP Trackage Rights Case"
- Folder 10 "Petitions for Trackage Rights"
- (Loose) UP-MP-WP Proposed Merger (Statements incl. I.H.B.), 9/15/1980  
Railroad Control Application, MKT – 11/1986, Vols. 1 & 3
- Folder 11 Control of the MKT (Opening Brief of Dept. of Justice), 9/14/1987
- Folder 12 "Speeches to Security Analysts," 1975-1983
- Folder 13 Executive Speeches to Security Analysts, etc.
- (Loose) Annual Reports & SEC Forms 10-K, 1978-1980

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**Union Pacific**

- (Loose) Annual Reports & SEC Forms 10-K, 1981-1990  
Annual Reports to the ICC, 1977-1983, 1986-1990
- Folder 1 **Union Railroad Co.**
- Folder 2 **Union Terminal Company (Dallas)**

**Washington Terminal Co.:**

Folder 3 Stock Listings, Articles, Mortgage & Indentures

**Western Maryland**

Folder 4 "Maps"

Folder 5 "Listing Statement," 1950

Folder 6 Mortgage & Indentures

Folder 7 Mortgage Bonds, 1954

Folder 8 Merger with C&O – B&O

(Loose) 1973 Merger with C&O and B&O Application

Folder 9 West Virginia & Pittsburgh Railway Co.

Folder 10 Studies, 1950's

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**Western Maryland:**

(Loose) Annual Reports to the ICC, 1978-1981 and  
SEC Form 10-K, 1980

**Western Pacific**

Folder 1 "Maps"

Folder 2 "General File" – Clippings & General Mortgage

Folder 3 First Mortgage Bonds

Folder 4 Income Debentures

Folder 5 Exchange Offers to Shareholders

Folder 6 1971 Prospectus – Shares of Common Stock

Folder 7 1979 – New WP under Newrail Co. Inc.

Folder 8 Write-ups



- Folder 9      Correspondence re: Testimony & Statistical Tables, 1963
- Folder 10     Control Case with Southern Pacific, July 1961
- Folder 11     Western Pacific – Southern Pacific Testimony
- Folder 12     ICC Dockets & Other Merger Data
- Folder 13     Santa Fe – Southern Pacific Merger File
- (Loose)       Annual Reports and SEC Forms 10-K, 1976-1978 and 1980-1981  
Annual Reports to the ICC, 1979-1981, 1983
- Folder 14     **Wichita Union Terminal Railway**
- Folder 15     **Wisconsin Central Transportation Corporation**
- Folder 16     **Wisconsin Central: Short Line (Ontario & Western Railway)**
- Folder 17     **Wisconsin Southern Railway**

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**Terminals**

- Folder 1      "Terminal Data" – ICC Dockets on "Terminal Freight Service Costs,"  
Clippings & Worksheets, 1940's
- Folder 2      "Terminals --- General" – Clippings, "Railroad Terminal Strategy," 1970's
- Folder 3      "Industrial Districts"
- Folder 4      "Tax Treatment of Terminal Railroads"
- Folder 5      "Terminal Statistics – 1934"
- Folder 6      "Terminal Statistics – 1938"
- Folder 7      "Terminal Statistics – 1939"
- Folder 8      "Terminal Statistics – 1940"
- Folder 9      "Terminal Statistics – 1941"

- Folder 10 "Terminal Statistics – 1942"
- Folder 11 "Terminal Statistics – 1947" (Halpern)
- Folder 12 "Terminal Expenses – 1952"
- Folder 13 "Albany Terminals"
- Folder 14 "Atlanta, Georgia"
- Folder 15 "Baltimore Terminals"
- Folder 16 "Baton Rouge Terminal"
- Folder 17 "Beaumont – Port Arthur Terminal"
- Folder 18 "Birmingham, Alabama"
- Folder 19 "Boston Terminals"
- Folder 20 "Buffalo Terminals"
- Folder 21 "Cairo Terminals (Illinois)"
- Folder 22 "Camden Terminals"
- Folder 23 "Chicago Terminals"
- Folder 24 "Cincinnati Terminals"
- Folder 25 "Cleveland Terminals"
- Folder 26 "Corpus Christi Bay Terminals"
- Folder 27 "Dallas Terminal"
- Folder 28 "Denver Terminals"
- Folder 29 "Detroit Terminals"
- Folder 30 "Duluth – Superior Terminals"
- Folder 31 "Evansville Terminals (Indiana)"

- Folder 32 "Flint Terminals (Michigan)"
- Folder 33 "Galveston – Houston Terminals"
- Folder 34 "Indianapolis Terminal"

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**Terminals**

- Folder 1 "Jacksonville Terminals" and Jacksonville Terminal Co.
- Folder 2 "Jersey City (NJ Port Development)"
- Folder 3 "Kansas City Terminals"
- Folder 4 "Lake Charles Terminal (Louisiana)"
- Folder 5 "Lansing Terminal (Michigan)"
- Folder 6 "Los Angeles Terminals"
- Folder 7 "Louisville Terminals"
- Folder 8 "Miami Terminals"
- Folder 9 "Memphis Terminals"
- Folder 10 "Milwaukee Terminals"
- Folder 11 "Mobile Terminals"
- Folder 12 "Nashville Terminals"
- Folder 13 "Newark Terminals"
- Folder 14 "New Orleans Terminals"
- Folder 15 "New York City Terminals"
- Folder 16 "Norfolk Terminals"
- Folder 17 "Omaha Terminals"
- Folder 18 "Oswego Terminals"
- Folder 19 "Paducah Terminals"

- Folder 20 "Peoria Terminals" & "Pekin Union Railway"
- Folder 21 "Philadelphia Terminals"
- Folder 22 "Pittsburgh Terminals"
- Folder 23 "Portland Terminals (Maine)"
- (Loose) Annual Reports for Portland Terminal Co., 1962-1967
- Folder 24 "Portland Terminals (Oregon)"
- Folder 25 "Providence Terminals"
- Folder 26 "Richmond Terminals"
- Folder 27 "Rochester Terminals (New York)"
- Folder 28 "St. Louis Terminals"
- Folder 29 "St. Paul – Minneapolis Terminals"
- Folder 30 "Salt Lake City Terminals"
- Folder 31 "San Antonio Terminals"
- Folder 32 "San Francisco Bay Terminals"
- (Loose) "The Ports of San Francisco, Oakland . . . " etc.

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- Folder 1 "Savannah Terminals"
- Folder 2 "Seattle Terminals"
- Folder 3 "Stockton Terminals (California)"
- Folder 4 "Tacoma Terminals"
- Folder 5 "Tampa Terminals"
- Folder 6 "Terre Haute Terminals"
- Folder 7 "Texas City Terminals"

- Folder 8 "Toledo Terminals"
- Folder 9 "Trenton Terminals"
- Folder 10 "Washington D.C. Terminals"
- Folder 11 "Wheeling Terminals"
- Folder 12 "Wilmington Terminals"
- Folder 13 "Youngstown Terminals (Ohio)"

**Coal/Fuel**

- Folder 14 "Railroads General" – Statistics, Worksheets
- Folder 15 "Maps – Bituminous"
- Folder 16 "Coal Ports" – News Clippings
- Folder 17 "Statistical Data"
- Folder 18 "Bituminous Coal Railroad Shipments"
- Folder 19 "Tonnage & Consumers By Types & By Companies" – Worksheets, Stats
- Folder 20 "Consumption of Fuel in Manufacturing Industries"
- Folder 21 "Nuclear Power & Utility Companies"
- Folder 22 Electricity Reports/Statistics
- Folder 23 Coal Facts & Reports (Pressprich)
- (Loose) Transportation Association of America Investor Panel, 4/18/1978;  
Coal Report ca. 1930's in black binder;  
1994 Report - "Fuel Choice for New Electric Generating Capacity in the  
Next Century: Coal or Natural Gas?";  
1981 – "Export Coal Facilities" – Summary of traits & review;  
"Coal – Bituminous & Lignite": Reports & Studies by/for Congress & the  
Dept. of the Interior on Energy & Traffic Flow, etc., 1940's – 1960's

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**Coal/Fuel**

- (Loose) "Coal – Bituminous & Lignite": Dept. of Interior Statistics & Reports and Mineral Industry Surveys, 1930's – 1970's, 1980;  
 "Bituminous Coal Facts," 1972;  
 "Role of United States Railroads in Meeting the Nation's Energy Requirements," 1974;  
 Energy Data Report on Coal, 1976 (U.S. Dept. of Energy);  
 "Perspective on Energy Policy," 1976  
 "Coal Data," 1977  
 "Coal Traffic," 1978  
 "Bituminous Coal & Lignite Production & Mine Operations," 1978  
 Energy Data Report on Coal, 1978-1979  
 "Coal Sales – Companies in the United States – 1979"  
 "Railroad Coal Rates: The Facts" – 1983

**Trailer Train Co.**

- Folder 1 "General File"  
 Folder 2 General Info & Correspondence, 1950's – 1970's  
 Folder 3 I.H.B. 1987 Evaluation of Trailer Train & Its Equipment  
 Folder 4 "Nebraska's Ad Valorem Tax Case," 1987  
 Folder 5 "Erie Lackawanna Study Workpapers"  
 Folder 6 "Car Contracts"

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**Trailer Train Co.**

- Folder 1 "Subordinated Notes," 1984  
 Folder 2 "TTX Proposal Questionnaire 5/19/1980"  
 Folder 3 Subsidiary "Railbox" – Clippings & Report  
 Folder 4 "Trailer Train Restructure Plan"  
 (Loose) Brochure ca. later 1960's providing General History & Info;  
 "Positioning Trainer Train for the Future";  
 "Flatcar Equipment Guide"  
 Folder 5 Assorted SEC Forms  
 (Loose) Annual Reports, 1978-1990

### **General Railroad Industry**

- Folder 6 "State – owned Railroad Properties"
- Folder 7 National Railway Utilization Corporation – Leasing Agreement Forms
- Folder 8 National Railway Utilization Corporation – General, Clippings
- Folder 9 Short Lines: "News Clippings on Short Line Sales"
- Folder 10 Short Lines: "TP&W Acquisition Corporation"
- Folder 11 Comments by Various Individuals on Mergers & Reorganizations

### **General Railroad Industry: Accounting**

- Folder 12 Clippings
- Folder 13 "ACC" – Assorted Clippings
- Folder 14 "ICC Reports, 1914-1979"
- Folder 15 "Railroad Accounting Principles"

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### **General Railroad Industry: Accounting**

- Folder 1 "Depreciation Accounting"
- Folder 2 "Deregulation" – Clippings, Reports, etc.
- Folder 3 "Cost of Capital: AT&T, N&W"
- Folder 4 "Cost of Capital"
- Folder 5 "Cost of Money: Discounted Cash Flow . . ."
- Folder 6 "Capital Needs"
- Folder 7 "California State Board of Equalization Capitalization Rates"
- Folder 8 Adequate Railroad Revenue Levels, 1979-1987
- Folder 9 "Freight Rates: General & Clippings"
- Folder 10 "Railroad Convertible Preferred Stocks"
- Folder 11 "Railroad Income Bonds"

- Folder 12 "Defeasance"
- Folder 13 "Taxes incl. Discriminatory Taxes"
- Folder 14 "Land Grants"
- Folder 15 "Gold Clause"
- Folder 16 Railroad Efficiency Factors Section: S&P Transportation Securities
- Folder 17 "Abandonments"
- Folder 18 "Trackage Rights"

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**General Railroad Industry: Equipment**

- Folder 1 "General" – Mostly Clippings
- Folder 2 "Intermodal (General) Speeches & News Clippings"
- Folder 3 "Equipment Debt Studies"
- Folder 4 Track Materials – Valuation
- Folder 5 "Garrett Railroad Car & Equipment"

**General Railroad Industry: Labor**

- Folder 6 "Wages, Unions"
- Folder 7 "Labor Productivity"
- Folder 8 "Federal Employers' Liability Act" (FELA)
- Folder 9 Railway Labor Act

**General Railroad Industry: Competition**

- Folder 10 "Intercity Ton-Miles & Passenger Intercity Miles"
- Folder 11 "Government Expenditures for Inland Transportation Modes"



- Folder 12 "Beaver-Mahoning Waterway"
- Folder 13 Valuation Reports
- Folder 14 "Railroad Reports," 1960-1968

**General Railroad Industry: Mergers, Reorganizations, etc.**

- Folder 15 Merger Studies
- Folder 16 Rail Planning Services Merger Studies
- Folder 17 ICC – Rail Consolidation Procedures, 1980
- Folder 18 Conglomerates: ICC Investigations & Dockets

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**General Railroad Industry: Mergers, Reorganizations, etc.**

- Folder 1 "Conglomerates Ex Parte 323 Investigation," 1970's
- Folder 2 "Reorganization Dockets" – Clippings, 1930-1940 & 1980's
- (Loose) "Guide to Rail Reorganization Securities," 1944 (4<sup>th</sup> issue)
- Folder 3 "Regional Rail Reorganization Act of 1973: Judge Friendly's Opinion in Valuation Proceedings" – 10/12/1977;  
Special Court Proceedings on Regional Rail Reorganization Act of 1973
- (Loose) "Valuation Analysis for Intercity Freight Lines" – 12/1/1978  
"Financial Considerations in the Sale of Intercity Freight Lines" – 12/1/1978
- Folder 4 Memorandum on Behalf of Penn Central – 12/1/1978
- (Loose) "Testimony of First Boston Corporation" – 1/31/1979
- Folder 5 "Memorandum of the Government Parties Concerning Evidence on Rail Use Issues" – 1/31/1979
- Folder 6 "Testimony . . . Concerning Regional Community & Shipper Impact" – 1/31/1979
- (Loose) "Deposition of Isabel H. Benham" in Valuation Proceedings Under Reorganization Act" – 7/12/1979

### **General Rail Industry: New England Rail System**

- Folder 7 "1974 Workpapers"
- Folder 8 "A Proposed New England Rail System" – Boston & Maine, August 1974
- Folder 9 "Harbridge House Report" – November 1973
- (Loose) "Railroad Mergers & The Economy of New England," 1966;  
*New England Economic Review*, Mar/April 1969

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### **General Railroad Industry: Rates**

- Folder 1 "Rate of Return Ex Parte 271"  
ICC Railroad Rate Base & Rate of Return
- Folder 2 Rate of Return Ex Parte 271 – 4/2/1973
- Folder 3 ICC Ex Parte 320 – "Notice of Proposed Rulemaking & Order" 5/20/1976
- Folder 4 Ex Parte 357 – In Support of Petition for Authority to Increase Freight Rates – November 1978
- Folder 5 Ex Parte 375 – In Support of Petition for Authority to Increase Freight Rates – February 1980
- Folder 6 Ex Parte 386 – In Support of Petition for Authority to Increase Freight Rates – September 1980
- Folder 7 Ex Parte 386 – In Support of Petition for Authority to Increase Freight Rates – September 1980
- Folder 8 Ex Parte 386 – In Support of Petition for Authority to Increase Freight Rates – September 1980

### **General Rail Industry: Revitalization Legislation**

- Folder 9 "Legislation 1975: The Railroad Rehabilitation & Improvement Act of 1975"
- Folder 10 "Railroad Revitalization & Regulatory Reform Act of 1976"

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**General Rail Industry: Staggers Act**

- (Loose) "Railroad Freight Rates in the Five Years Since Staggers" – Feb. 1986
- Folder 1 "Testimony on Revision of Staggers Act – 1987"
- Folder 2 Report on the Effects of Staggers on Shippers, Labor & Capital, 1989
- Folder 3 "Past Reports on Staggers Act"
- Folder 4 "Committee Against Revising Staggers (CARS)," 1986-1991

**General Rail Industry: Other Legislation**

- Folder 5 "Supreme Court Decisions of Importance to Railroad Investors"
- Folder 6 "Misc. Rail Acts, Hearings & Reports"
- Folder 7 "Status Report on Major Legislation" – July 1990
- (Loose) "Laws Relating to Interstate & Foreign Commerce," 1936 & 1946
- Folder 8 Various Acts regarding the ICC: 1958, 1974, 1976 & 1982

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- Folder 9 Railroad Articles
- Folder 10 Remarks by Association of American Railroads Presidents: 1970's – 1980's to Railroad Public Relations Association
- Folder 11 Papers on Railroad Industry by Lewis K. Silcox, dated 1970's – 1980's
- (Loose) Maps in Envelope – "National Energy Transportation"
- Folder 12 "Freight & Passenger Traffic Density" Reports 1955-1978 (incomplete)

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**General Rail Industry**

- Folder 1 Analysis of Class I Railroads – by AAR, 1981 & 1985
- (Loose) Assorted Brochures, Reports, etc.:  
Brochure, "Transportation Industry Debt Instrument Rating Principles" –  
Moody's Overview;

"Railroad Revenues: Analysis of Alternative Methods to Measure Revenue Adequacy" – Oct. 1986;  
 "An Integrated Transportation Policy for an Era of Rising Expectations" – AAR, 1989;  
 "Selected Railroad Statistics" – August 1960  
 "Railroad Mileage by States" – December 1965  
 "Railroad Mileage by States" – August 1969

- Folder 2      Statistics of Class I Railroads, 1969-1979
- (Loose)      "Railroad Transportation: A Statistical Record," 1921-1953 & 1911-1951  
 "The Gathering Transportation Storm";  
 "Comparisons of Certain Railroad Indicators, 1970 edition";  
 "Area Economic Projections 1990";  
 "Value of Service in Rate-Making" – Nov. 1959;  
 "Economic ABCs of the Railroad Industry" – 1980;  
 "Preliminary Standards, Classification & Designation of Lines of Class I Railroads" – August 1976;  
 "Final Standards, Classification & Designation of Lines of Class I Railroads" – January 1977
- (Loose)      AAR Best Practices Booklets:  
 "Dynamic Transport Policies"; "Railroads & Productivity"; "Railroads & the Marketplace"; "Railroad Land Grants . . . "; "Railroad Retirement"
- (Loose)      Review of Railway Operations, 1950-1967; Railroad Review & Outlook, 1969-1970; Transportation Quarterly, 1987-1988;  
 Transportation Facts & Trends, 1969-1975, 1977-1978 & 1980;  
 Transportation in America: A Statistical Analysis – 1983, 1985 & 1989  
 "Tracking the Rails" – Nov. 25, 1991

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**Benham Reports & Writing**

- (Loose)      Hayden Stone Industry Reviews, 1961 & 1969;  
 Shearson - "Railstat," ca. 1977;  
 Printon Kane "Railstat," 1978-1980
- Folder 1      Various Shearson & Printon Kane Reports, 1969-1990
- (Loose)      Book *Reflections of America: Commemorating the Statistical Abstract Centennial* (See I.H.B. Chapter "The Transportation Industry & Its Changing Face")
- Folder 2      Benham Articles, Interviews & Speeches, 1964-1989

- (Loose) "New Concepts in Railroading," 1971;  
"Railroads Blueprints for Survival Beyond the 1980 Decade – or –  
Revving Up for the 21<sup>st</sup> Century," 1987
- Folder 3 "The Financial Aspects of the Railroad Problem," 1973
- Folder 4 "Railroad – Based Conglomerates," 1972
- Folder 5 "Open Access" Articles by Others
- Folder 6 Articles about "Open Access"

Finding aid written by Abby Hathaway  
June 6, 2011